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JC849 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

JC835 U.S. PTO
09/586942



Docket No. : 39558/DBP/E43
Inventor(s) : Daniel Flammang
Title : ELECTRODE ARRANGEMENT
Express Mail Label No. : EL408323541US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Date: June 2, 2000

1. ☒ **FEE TRANSMITTAL FORM** (Submit an original, and a duplicate for fee processing).

2. **IF A CONTINUING APPLICATION**

_____ This application is a _____ of patent application No. .

Prior application information: Examiner ; Group Art Unit:

_____ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4),
to provisional Application No. .

3. **APPLICATION COMPRISED OF**

Specification

18 Specification, claims and Abstract (total pages)

Drawings

18 Sheets of drawing(s) (FIGS. 1 to 7)

Declaration and Power of Attorney

_____ Newly executed

☒ No executed declaration

_____ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. _____ **Microfiche Computer Program** (Appendix)

5. _____ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all necessary)

_____ Computer Readable Copy

_____ Paper Copy (identical to computer copy)

_____ Statement verifying identity of above copies

6. **ALSO ENCLOSED ARE**

☒ Preliminary Amendment

_____ A Petition for Extension of Time for the parent application and the required fee are
enclosed as separate papers

_____ Small Entity Statement(s)

_____ Statement filed in parent application, status still proper and desired

_____ Copy of Statement filed in provisional application, status still proper and desired

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.: 39558/DBP/E43

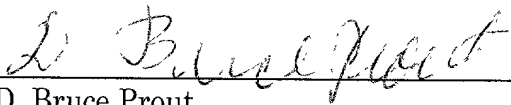
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
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- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant : Daniel Flammang
Application No. : To Be Assigned
Filed : June 2, 2000
Title : ELECTRODE ARRANGEMENT
Grp./Div. : To Be Determined
Examiner : To Be Determined
Docket No. : 39558/DBP/E43

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Post Office Box 7068
Pasadena, CA 91109-7068
June 2, 2000

Commissioner:

Please amend the above-identified application as follows:

IN THE SPECIFICATION

Page 7, line 13, delete "Figure 3" and insert therefor -Figures 3a to c-.

IN THE CLAIMS

Claim 6, line 1, delete "one of claims 1 to 5" and insert therefor -claim 1-.

Claim 7, line 1, delete "one of claims 1 to 6" and insert therefor -claim 1-.

Claim 8, line 1, delete "one of claims 1 to 7" and insert therefor -claim 1-.

Claim 10, line 1, delete "one of claims 1 to 9" and insert therefor -claim 1-.

Claim 11, line 1, delete "one of claims 1 to 10" and insert therefor -claim 1-.

Claim 12, line 1, delete "one of claims 1 to 11" and insert therefor -claim 1-.

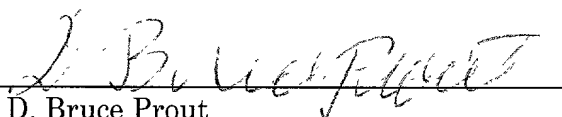
Application No. To Be Assigned

REMARKS

In view of the foregoing amendment, consideration and allowance of this application is respectfully requested.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

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Berlin, den 29. Mai 2000

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Anmelder/Inhaber: BIOTRONIK Meß- und Therapiegeräte GmbH & Co.
Ingenieurbüro Berlin

Amtsaktenzeichen: Neuanmeldung

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Electrode arrangement

The invention concerns an electrode arrangement, in particular for the intracardial discharge of defibrillation pulses in the atrium or the ventricle of a heart, having an electrode line which in the region of its distal end has a plurality of electrically conductive surface portions as electrodes, which can be electrically connected by way of the electrode line to an electrical pulse-discharging device such as a defibrillator, cardioverter or pacemaker or the like.

Certain cardiac palpitations or arrhythmia phenomena, including in particular ventricular and atrial fibrillation, but possibly also accelerating tachycardia phenomena which have not yet passed into the state of fibrillation are electrotherapeutically treated with good prospects of success by applying short-duration electrical pulses or shocks to the sensitive cardiac tissue.

In that situation, in order rapidly to achieve termination of those life-threatening arrhythmia effects with a high level of certainty, relatively high voltages are conventionally applied and high levels of energy are supplied to the cardiac tissue,

which in many cases results in tissue damage and serious stresses such as pain for the patient. In addition, in relation to implantable units, the provision of those high voltages and high energy levels requires expensive apparatus implementation with special structural and insulating elements, in particular powerful batteries and capacitors. Finally, electrode arrangements of large area were and still are used for transmitting the cardioversion energy to the cardiac tissue, and the production and implantation thereof involves a high cost level.

The design of cardioverters or defibrillators (hereinafter jointly referred to by the term "cardioverter") and the associated electrode arrangements has been the subject of a drive for increasing perfection. In that respect, on the one hand numerous technical solutions have been proposed for ascertaining and providing, in the best possible differentiated fashion, the energy and voltage required for cardioversion of the specific cardiac arrhythmia phenomena involved as well as advantageous pulse shapes and sequences and on the other hand various electrode arrangements have been proposed, which were each considered advantageous from given respective points of view. In actual fact substantial practical improvements which have promoted the widespread practical use of implanted cardioverters or defibrillators and combined pacemakers/cardioverters have proven successful.

In the course of that development increasingly refined and powerful endocardiac defibrillation electrode lines have been described, which afford considerable advantages in terms of implantation and in regard to the operative risks, see for example WO/A-94/03233, EP-A 0 602 356 or the present applicants' prior application DE 196 26 352.2. The endeavours in that respect are inter alia along the lines of applying a cardioversion shock to larger areas of the cardiac tissue by the provision of a plurality of and/or large-area electrode on an endocardiac line, thereby to approximate the area of action of endocardiac electrode arrangements to that of subcutaneous or epicardiac surface electrodes.

With that background of the state of the art in mind, the object of the present invention is that of providing an electrode arrangement which permits advantageous defibrillation.

In accordance with the invention that object is attained by an electrode arrangement of the kind set forth in the opening part of this specification, in which the electrode line in the electrode-bearing region of its distal end is adapted to be split into at least two branches respectively carrying electrodes. Preferably for that purpose the electrode line has splitting means in the electrode-carrying region at the distal end of the electrode line, which splitting means are adapted to split up the electrode-bearing region and are connected to actuating means which are arranged at the proximal end of the electrode line.

The capability of the distal end of the electrode line for being split up in that way affords a series of advantageous options, both in terms of therapy and also diagnosis, insofar as electrode can be positioned for example at various laterally displaced locations of the heart without two separate electrode lines being required for that purpose. In that connection, a particularly preferred electrode arrangement is one in which the electrode-bearing region has a shaping structure at least one of the branches, which is activatable simultaneously with or after splitting, and which is of such a nature that a first of the branches assumes a shape as a septal branch and a second branch assumes a shape as a lateral branch for assuming a septal position and a lateral position respectively in the atrium or the ventricle of a heart so that the septal branch and the lateral branch bear at least in a region-wise manner against the septal wall and the lateral wall respectively of the atrium or the ventricle.

Such an arrangement makes it possible for electrodes to be positioned on mutually oppositely disposed side walls of the heart and for them to be actuated for example in bipolar mode in such a way that the others of the oppositely disposed electrodes which are associated with each other in pairs can serve as an anode

and a cathode for the discharge of voltage pulses to the myocardium (cardiac tissue) of the atrium. An electrode arrangement of that kind stimulates in particular a layer of the myocardium, which is defined by the two electrodes.

In an alternative embodiment of such an electrode arrangement the electrode-bearing branches have resilient structures as a shaping structure or splitting means, which are pre-shaped in such a way that by virtue of spring forces they cause the electrode-bearing branches to split up. The actuating means can then include a sliding sleeve which extends over the electrode line as far as the distal end thereof or into the proximity thereof and which at the proximal end of the electrode line has engagement means for displacing the sliding sleeve in the longitudinal direction of the electrode line. The sliding sleeve embraces the electrode-bearing branches at the distal end of the electrode line and holds them close together with the spring structure in a stressed condition. In that state the electrode line can be introduced for example into the atrium or the ventricle of a heart. The sliding sleeve is then retracted in the longitudinal direction of the electrode line and releases the electrode-bearing regions. The spring means of the electrode-bearing regions then cause the distal end of the electrode line to spread open into the individual branches. That then affords an electrode line whose electrodebearing branches at the distal end are preferably in a shape such that one of the branches assumes a lateral position in the atrium of a heart and a second of the branches assumes a rectal position. An electrode shape of that kind permits timely and effective defibrillation even with weaker currents than were hitherto usual so that the defibrillation procedure involves a lower pain level for the patient.

A preferred electrode arrangement is one whose shaping structure has a memory metal structure in at least one of the branches, which is of such a nature that it involves a predetermined change in shape which can be triggered off by an event such as a change in temperature, so that the result after the change in shape is the preferred shape which makes it possible for the branches, which are inserted into the atrium of a heart, of the distal end of the electrode line to bear against the

walls of the atrium in the described manner. The memory metal structure preferably contains titanium and corresponds to the known memory metal structures which by virtue of their crystal structure are suitable for abruptly altering their shape, for example in the event of a change in temperature. For triggering off that change in shape, it is preferably possible to fit into the branches of the distal end of the electrode line, electrical heating elements which can be connected to a current source at the proximal end of the electrode line so that the change in shape can be triggered off by connecting the electrical heating elements to the current source.

In a preferred embodiment the branches of the electrode line are respectively provided with an equal number of electrodes, wherein each electrode of a branch is unambiguously associated with an electrode of the other branch. Preferably each branch bears five to seven electrodes. With an arrangement of that kind, it is possible to produce five to seven of the layers which have already been referred to above and which are each defined by the respective mutually associated electrodes and in which the myocardium of the atrium can be stimulated.

A particularly preferred electrode arrangement is one in which the electrode-bearing region in the distal end of the electrode line can be split into two branches which are respectively provided with equidistantly arranged electrodes, wherein each electrode of a branch is associated in pairs with an electrode of the other branch, for the discharge of bipolar pulses. This alternative embodiment of the electrode arrangement is based on the realisation that two electrodes which are associated with each other in pairs are already sufficient to define a layer in the atrium of the heart and to stimulate same by the discharge of a voltage pulse in a bipolar mode of operation between the two electrodes serving as an anode and a cathode.

A particularly preferred electrode arrangement is one in which the electrodes are arranged on the lateral and septal branches in such a way that in the atrium of a heart they assume such positions that each two electrodes which are associated

with each other in pairs make it possible to produce defined layers, starting from the transition of the vena cava of the heart into the atrium thereof, thereby subdividing the atrium, in parallel successive relationship.

Such an arrangement of the electrodes is attained if section lines extending parallel to each other are laid through the atrium of a heart at a spacing of for example a centimetre and electrodes are provided at the points of intersection of those notional section surfaces with the branches of the electrode line, which bear against the walls of the atrium.

After an element arrangement of that kind has been introduced into the atrium of a heart, such an electrode arrangement makes it possible for the atrium to be stimulated either successively in time-displaced relationship in a cascade-like procedure or simultaneously with bipolar voltage pulses, and in that way makes it possible to achieve a defibrillation effect with an extremely low level of stimulation energy. That affords the great advantage that defibrillation can be substantially pain-free for the patient.

The electrodes are preferably separately actuable and such that they are suitable both for receiving electrical signals from the heart and also for delivering electrical pulses to the heart. An electrode arrangement of that kind makes it possible to generate a large number of stimulation patterns but also to detect a large number of signals and symptoms which can be put to use for accurately determining the optimum stimulation pattern.

The electrodes are preferably in the form of ring or tip electrodes. In addition the electrodes of a branch are preferably at a respective spacing of about one centimetre from the adjacent electrode or the adjacent electrodes.

Preferably, in addition to the two or more branches already referred to above, the electrode arrangement has at least one further branch which is designed to assume

a position in the ventricle or the atrium, respectively of heart and which has at least one electrode. An electrode arrangement of that kind increases the number of diagnostic and therapeutic options insofar as the ventricle and atrium electrodes can be combined with each individual one or any combination of the other electrodes.

The invention will now be described in greater detail by means of embodiments with reference to the accompanying drawings in which:

- | | |
|------------------|---|
| Figures 1a to d | show the distal end of four alternative embodiments of an electrode line with an electrode arrangement according to the invention; |
| Figures 2a and b | show an electrode line as illustrated in Figure 1a inserted into a human heart, in different scales; |
| Figure 3 | shows an alternative embodiment of an electrode line inserted into a human heart; |
| Figure 4 | shows an alternative embodiment of the electrode arrangement illustrated in Figure 1; |
| Figures 5a to c | show three further alternative embodiments of the electrode arrangement without ventricle electrode; |
| Figures 6a to d | are diagrammatic views of an insertion catheter for an electrode line mechanical comprising means for splitting up the distal end thereof and |
| Figure 7 | is a diagrammatic view of alternative means for splitting up the distal end of the electrode line. |

The distal end of an electrode line 10, as shown in Figure 1, is split up into three branches, more specifically a ventricular branch 12, a septal branch 14 and a lateral branch 16.

The septal branch 14 and the lateral branch 16 each have six electrodes which are arranged equidistantly relative to each other and which are formed by conductive surface portions of the branches 14 and 16. The electrodes arranged at the free end of the two branches are in the form of tip electrodes 30 while the other electrodes which respectively follow the tip electrodes and in successive relationship with each other at a spacing of about one centimetre are in the form of ring electrodes 32. Each of the electrodes 30 and 32 can be individually connected by way of suitable signal and control lines 40 to a device for receiving electrical signals and for delivering voltage pulses with a defibrillator (not shown). Furthermore, at the distal end of both the lateral and the septal branch 14 and 16 there may be provided radiologic markers 34 for facilitating the positioning of the electrode line as well as for controlling the position by means of radiology.

At its free end the ventricular branch 12 has a screwing-in tip 20 for anchoring in the myocardium or heart tissue, and spacers 22 in order to hold the free end of the ventricular branch 20 at a predetermined spacing relative to the myocardium or heart tissue. In addition the ventricular branch 12 of the electrode line 10, as shown in Figure 1a, is provided with a ring electrode which serves as a ventricle electrode 24. The screwing-in tip 20 may bear one or more radiologic markers 28.

As shown in Figure 1b, the ventricular branch 12' of the electrode line 10' may bear two distal ring electrodes 24' for sensing and pacing the right ventricle in a standard manner. The two distal ring electrodes 24' could also serve as ventricle electrodes for bipolar or unipolar stimulation of the ventricle alternatively.

In an alternative embodiment the ventricular branch 12" of the electrode line 10", as shown in Figure 1c, is equipped with an unique defibrillatory coil electrode 24"

about 6 cm in length as usually designed for standard ventricular defibrillatory systems.

In yet another preferred embodiment of the electrode line 10''', as shown in Figure 1d, the distal end of the ventricular branch 12''' is bearing 6 separate small coils 24''', each of them 8 mm in length and being spaced apart from each other by plastic joining and insulation material 2 mm in length.

Figures 2a and b show the electrode arrangement illustrated in Figure 1a after it has been inserted into a human heart 50, more specifically into its right atrium 52 and its right ventricle 54. The ventricular branch 12 extends into the ventricle 54 of the heart 50. The distal end of the ventricular branch 12 is fixed in the myocardium by way of the screw-in tip 20 and is held at a predetermined spacing relative to the myocardium by way of the spacers 22.

The septal branch 14 and the lateral branch 16 bear against the walls of the atrium 52, more specifically the septal branch 14 against the inner wall of the atrium, which is towards the septum, and the lateral branch against the outer side wall of the atrium 52.

As can be seen from Figure 2b the electrode 30 and 32 of the septal and the lateral branches 14 and 16 bear against the side walls of the atrium. The tip electrodes of the two branches 14 and 16 and the respectively following ring electrodes 32 are respectively associated in pairs with their counterpart in the respective other branch 14 or 16. In that way the electrodes 30 and 32 respectively form bipoles which are arranged in substantially mutually parallel relationship and which define six layers which subdivide the atrium 54 into five identical slices, each of which is one centimetre high, beginning at the transition of the upper vena cava 56 to the atrium 52 and from there extending inclinedly downwardly about five centimetres. Dash-dotted lines mark the layers which are defined by the electrode pairs.

In alternative embodiments, as shown in Figure 3a to 3c, the distal end of an electrode line 60, as shown in Figure 1, is split up into two ventricular branches 62 and 64, more specifically a septal ventricular branch 62 and a lateral ventricular branch 64. The septal branch 62 is formed so that the septal branch bears at least in a region-wise manner against the septal wall whereas the lateral branch bears at least in a region-wise manner against the lateral wall of the ventricle.

Both, the septal branch 62 and the lateral branch 64 may each be provided with different electrode configurations.

The septal and lateral branches 62 and 64 of the embodiment shown in Figure 3a each have six electrodes which are arranged equidistantly spaced by 7 to 8 mm relative to each other and which are formed by 2 to 3 mm long conductive surface portions of the branches 62 and 64. The electrodes arranged at the free end of the two branches are in the form of tip electrodes 66 while the other electrodes which respectively follow the tip electrodes and in successive relationship with each other at a spacing of about one centimetre are in the form of ring electrodes 68. Each of the electrodes 66 and 68 can be individually connected by way of suitable signal and control lines 40 to a device for receiving electrical signals and for delivering voltage pulses with a cardioverter (not shown).

In an alternative embodiment, lateral and septal branches 62 and 64 each may bear 12 ring electrodes 68' having a length of 2 mm and being equally spaced apart from each other by 4 mm plastic transition zones 70'; see Figure 3b.

In another preferred embodiment small coils tiered electrodes 68" are provided on both the lateral and the septal branch 62 and 64. Each coil 68" has covers a length of 8 mm. The coils are equally spaced apart from each other by plastic transition zones 70" having a length of 2 mm.

Figures 2a and 3 additionally show an adjusting wire 56 which is guided in the

electrode line 10 and which serves for angular adjustment of the spacers 22 and thus for adjustment of the myocardium spacing of the electrode 24.

Figure 4 shows an alternative form of the configuration illustrated in Figures 1 and 2 of the distal end of the electrode line. In the case of the electrode line 10 illustrated in Figure 4, the lateral branch 16 is of precisely the same nature as in Figures 1 to 3. The septal branch 14' however is extended and goes directly into the ventricular branch 12. In order to achieve a similar configuration with the electrode arrangement shown in Figure 4 to that involved in the arrangement illustrated in Figures 1 to 3, the septal branch 14', instead of a tip electrode, has a further ring electrode 32'. In other respects the arrangement of the electrodes 30' and 32' which are intended to be positioned in the atrium is substantially the same as in the arrangement shown in Figure 1.

Figure 5a shows a simplified electrode line 10" which does not have a ventricular branch and which in other respects corresponds to the electrode arrangement shown in Figures 1 to 3.

The electrode line shown in Figure 5b provides 12 ring electrodes 32' placed on the last 5 cm of each branch or hemi-lead 14 and 16, thereby doubling the number of atrial slices to be stimulated compared to the configuration shown in Figures 1, 2 and 5a.

In an alternative embodiment both atrial branches or hemi-leads 14 and 16 bear 6 small diameter coil electrodes 32", each of them 8 mm in length; see Figure 5c. The electrodes on each branch are separated by insulating plastic transition zones, each zone being 2 mm long.

The electrode configurations for the atrial hemi-leads shown in Figures 5b and 5c for an electrode line without ventricular lead could as well be applied to an electrode line having a ventricular lead as shown in Figures 1 and 2.

Furthermore, any of the ring electrodes shown in Figures 1 to 5 could as well be a split ring electrode, comprising two annular conducting surfaces close to each other separated by a small insulating ring.

So that the distal end of the electrode line 10, 10', 10" or 60 can be introduced by way of the vena cava into the atrium and ventricle of the heart, means are provided which make it possible for the branches 12, 14 and 16 or 62 and 64 to be held close together during the insertion operation, as well as a shaping structure which permit the branches to be split apart after the insertion thereof.

Figures 6a and 6b diagrammatically show mechanical means for splitting open the distal end of the electrode line 10 after insertion into a heart. Those mechanical means include a sliding sleeve 80 which may be a catheter guide having a lumen for introduction of an electrode line 10 or 60. Near its distal end the sliding sleeve or catheter guide 80 is provided with a radiologic marker 82 for facilitating the positioning of the catheter guide.

The sliding sleeve 80 is displaceable in the longitudinal direction of the electrode line 10. A shaping structure 84 in the form of a spring element is incorporated in the distal end of electrode line 10 or 60. The spring element 84 is pre-shaped in such a way that, by virtue of spring forces, it causes the electrode-bearing branches 14 and 16 or 62 and 64, respectively, to split apart. During insertion of the electrode line 10 or 60 the sliding sleeve 80 embraces the electrodebearing branches at the distal end of the electrode line 10 or 60 and holds them close together, with the spring structure 84 in a stressed condition. After the insertion step the sliding sleeve 10 is retracted in the longitudinal direction of the electrode line 10 or 60 and releases the electrodebearing branches. The spring element 84 then causes the individual branches to assume their predetermined shape so that the distal end of the electrode line spreads apart into the individual branches.

Figure 6c shows an alternative embodiment of a catheter guide 80' having an

inflatable balloon 86 incorporated at or near its distal end. In its inflated state, as shown in Figure 6c, the balloon 86 has an volume of 1 cm^2 . The balloon 86 may allow for inhibition or reduction of blood flow in the region of the superior vena cava and the right atrium. In addition, means may be provided to inject contrast agent in the distal part of the superior vena cava in order to delineate the border between the superior vena cava and the right atrium by radiologic means. The inhibition or reduction of blood flow prevents the contrast agent from quickly disappear from the region of interest. Thereby the problem is solved to determine where the superior vena cava ends and where the atrium begins. This facilitates the precise positioning of the electrode line.

Furthermore, inflation of the balloon 86 may serve for fixation of the catheter guide 80 in a definite position during insertion of the electrode line. After Insertion of the electrode line, the balloon 86 is deflated and the sliding sleeve or catheter guide 80 is completely retracted.

Figure 6d shows a variation of the embodiment shown in Figure 6c. The inflatable balloon 86" is placed apart from the distal end of the catheter guide 80" by 5 mm. The distal 5 mm of the catheter guide are made from soft material like elastic plastic or rubber-like material. Furthermore, in a distance of 2 to 2,5 cm from the distal end, catheter guide 80 " is angulated by approximately 30° . This facilitates the introduction of the catheter into branching vessels like the coronary sinus. Different angles up to 100° may be chosen in accordance to the vessel to be catheterized.

Figure 7 shows an alternative embodiment of the splitting means and the shaping structure. In the embodiment shown in Figure 7 the shaping structure 90 comprises a memory metal structure which experiences a change in shape by virtue of being heated above a given temperature. In order to trigger off such a change in shape, provided in the proximity of the memory metal structure 90 are electrical heating elements 92 which are connected to a current source 94 by way of lines

96 provided with switches 98. Closure of the switches 98 can trigger the heating of the electrical heating elements 92 above the temperature required for the abrupt change in shape of the memory metal structure 90.

CLAIMS

1. An electrode arrangement, in particular for the intracardial discharge of defibrillation pulses in the atrium or the ventricle of a heart, having an electrode line which in the region of its distal end has a plurality of electrically conductive surface portions as electrodes, which can be electrically connected by way of the electrode line to an electrical pulsedischarging device such as a defibrillator, characterised in that in the electrode-bearing region of its distal end the electrode line is adapted to be split into at least two respective electrode-bearing branches.
2. An electrode arrangement according to claim 1 characterised in that at the distal end of the electrode line the electrode-bearing region has splitting means which are adapted to split up the electrode-bearing region and which are connected to actuating means which are arranged at the proximal end of the electrode line.
3. An electrode arrangement according to claim 1 or claim 2 characterised in that the electrode-bearing region has a shaping structure in at least one of the branches, which is activatable simultaneously with or after the splitting-up step, and which is such that a first of the branches assumes a shape as a septal branch and a second branch assumes a shape as a lateral branch for assuming a septal position and a lateral position in the atrium or the ventricle of a heart.
4. An electrode arrangement according to claim 3 characterised in that the shaping structure has a memory metal structure in at least one of the branches, which is of such a nature that it involves a predetermined change in shape which can be triggered off by an event such as a change in temperature.

5. An electrode arrangement according to claim 4 characterised in that the memory metal structure contains titanium.
6. An electrode arrangement according to one of claims 1 to 5 characterised in that the branches are respectively provided with an equal number of electrodes, wherein each electrode of a branch is unambiguously associated with an electrode of the other branch.
7. An electrode arrangement according to one of claims 1 to 6 characterised in that each branch carries 5 to 7 electrodes.
8. An electrode arrangement according to one of claims 1 to 7 characterised in that the electrode-bearing region at the distal end of the electrode line can be split into two branches which are respectively provided with equidistantly arranged electrodes, wherein each electrode of a branch is associated in paired relationship with an electrode of the other branch for the discharge of bipolar pulses.
9. An electrode arrangement according to claim 3 and claim 8 characterised in that the electrodes are arranged on the lateral and the septal branches in such a way that in the atrium of a heart they assume such positions that each two electrodes with are associated with each other in paired relationship can produce defined layers, starting from the transition of the upper vena cava of the heart into the atrium thereof, subdividing the atrium, in parallel successive relationship.
10. An electrode arrangement according to one of claims 1 to 9 characterised in that the electrodes are in the form of tip or ring electrodes.
11. An electrode arrangement according to one of claims 1 to 10 characterised in that the electrodes of a branch are respectively at a spacing of about one

centimetre relative to the adjacent electrode or the adjacent electrodes

12. An electrode arrangement according to one of claims 1 to 11 characterised by an additional branch which is adapted to assume a position in the ventricle and which has at least one ventricle electrode.

Abstract

An electrode arrangement. in particular for the intracardial discharge of defibrillation pulses in the atrium of a heart, comprising an electrode line which in the region of its distal end has a plurality of electrically conductive surface portions as electrodes which can be electrically connected by way of the electrode line to an electrical pulsedischarging device such as defibrillator, wherein the electrode line is adapted to be split in the electrode-bearing region of its distal end into at least two respectively electrode-bearing branches.

(Figure 1)

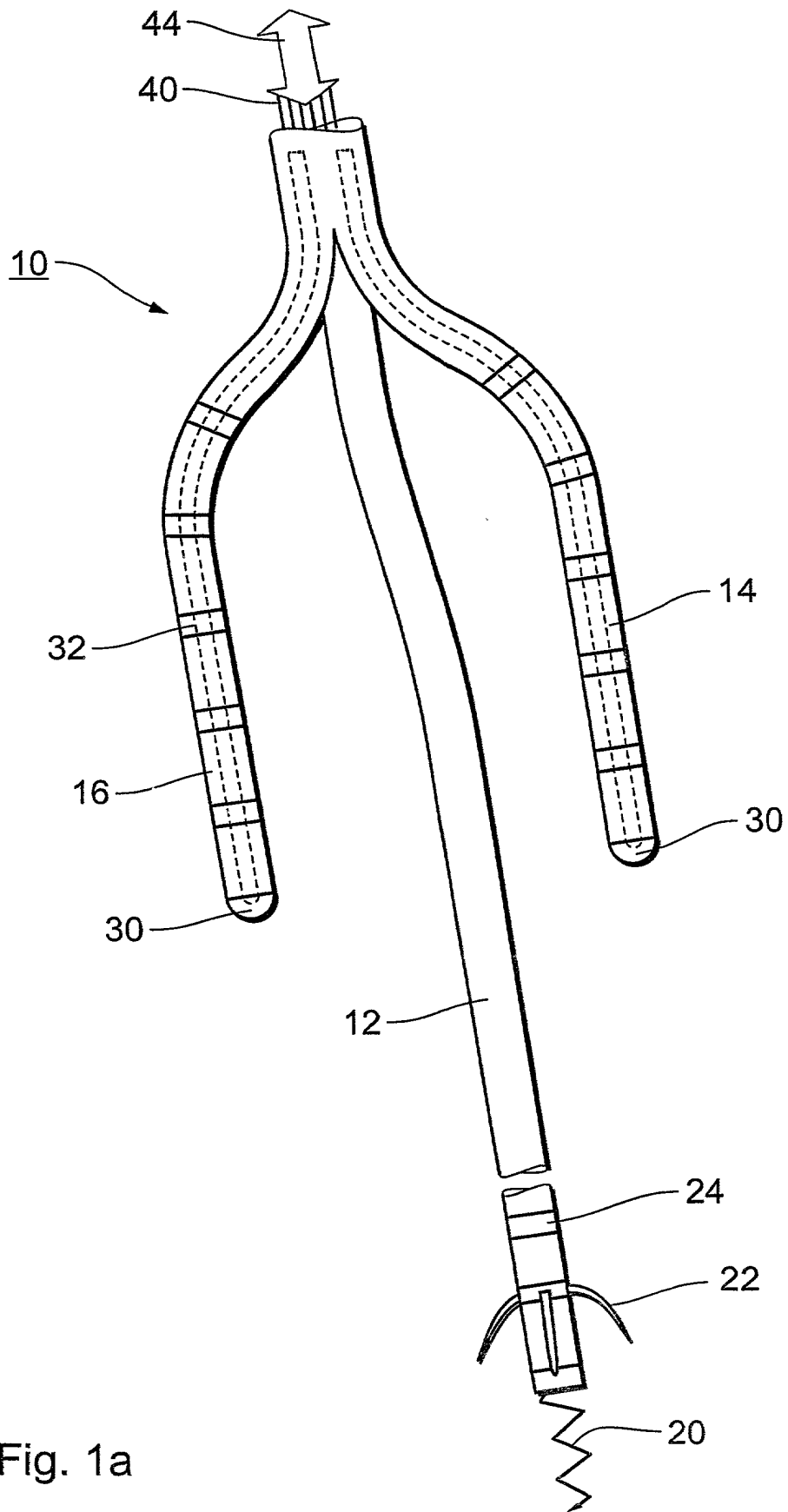


Fig. 1a

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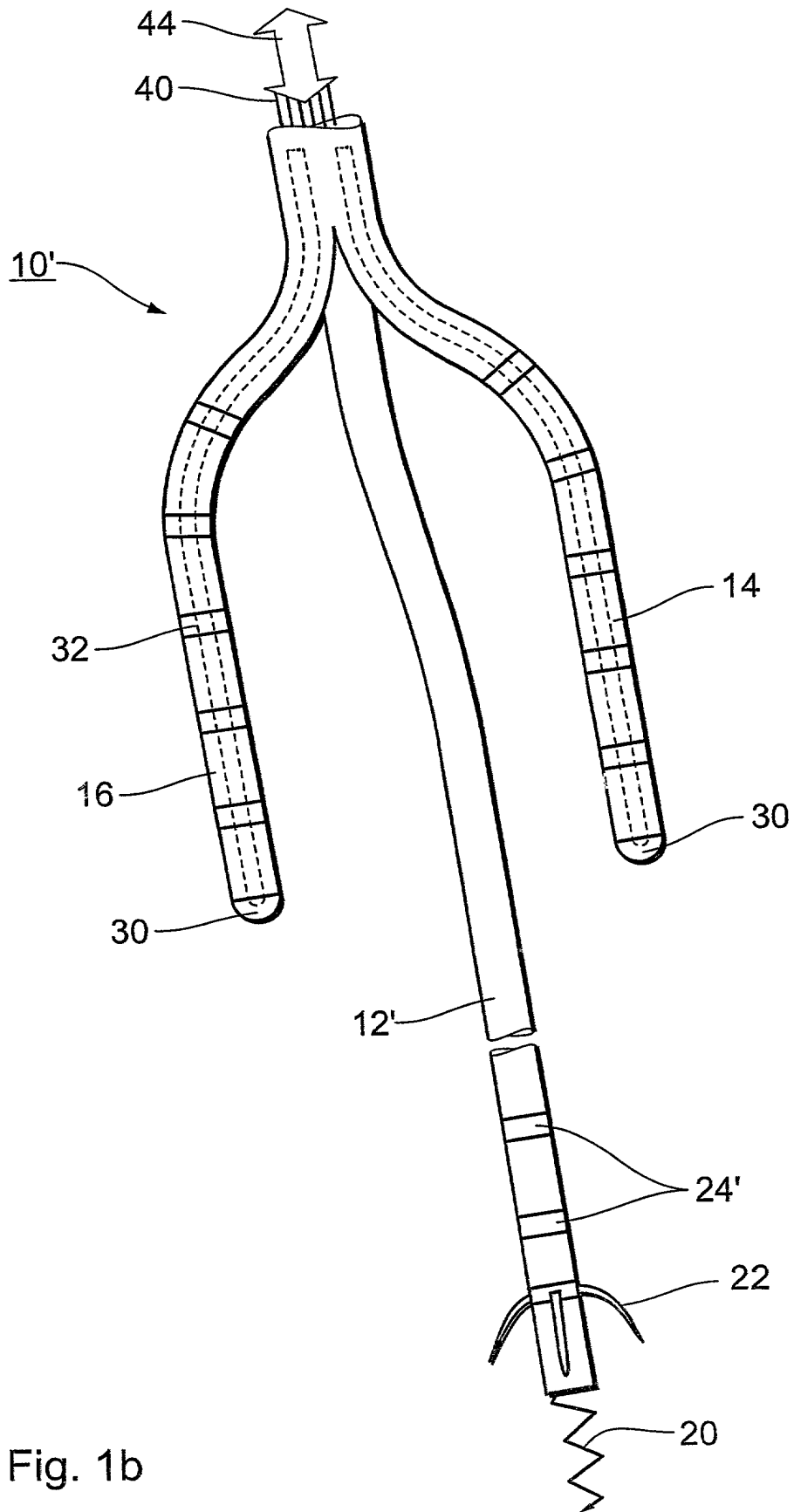


Fig. 1b

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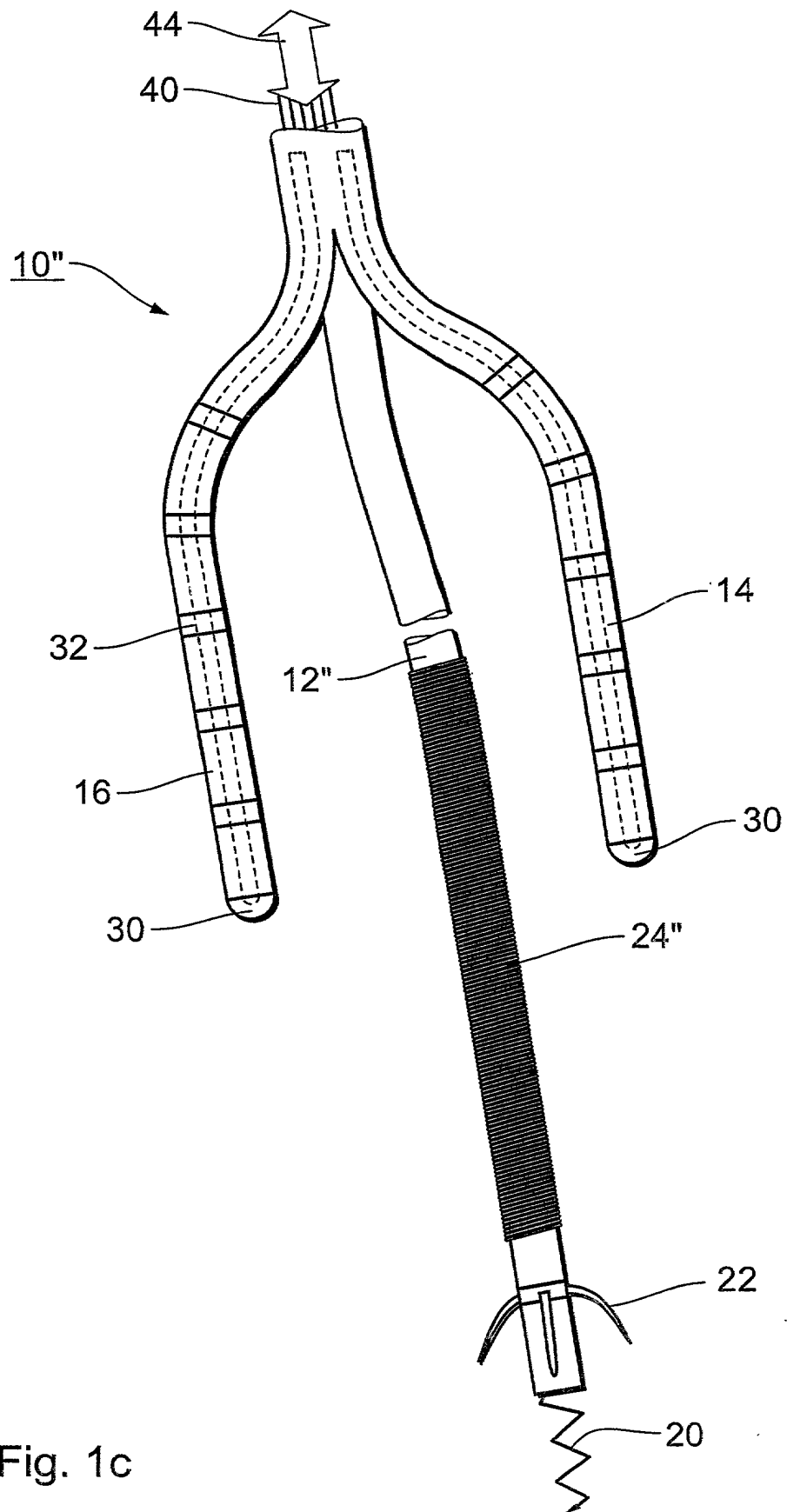


Fig. 1c

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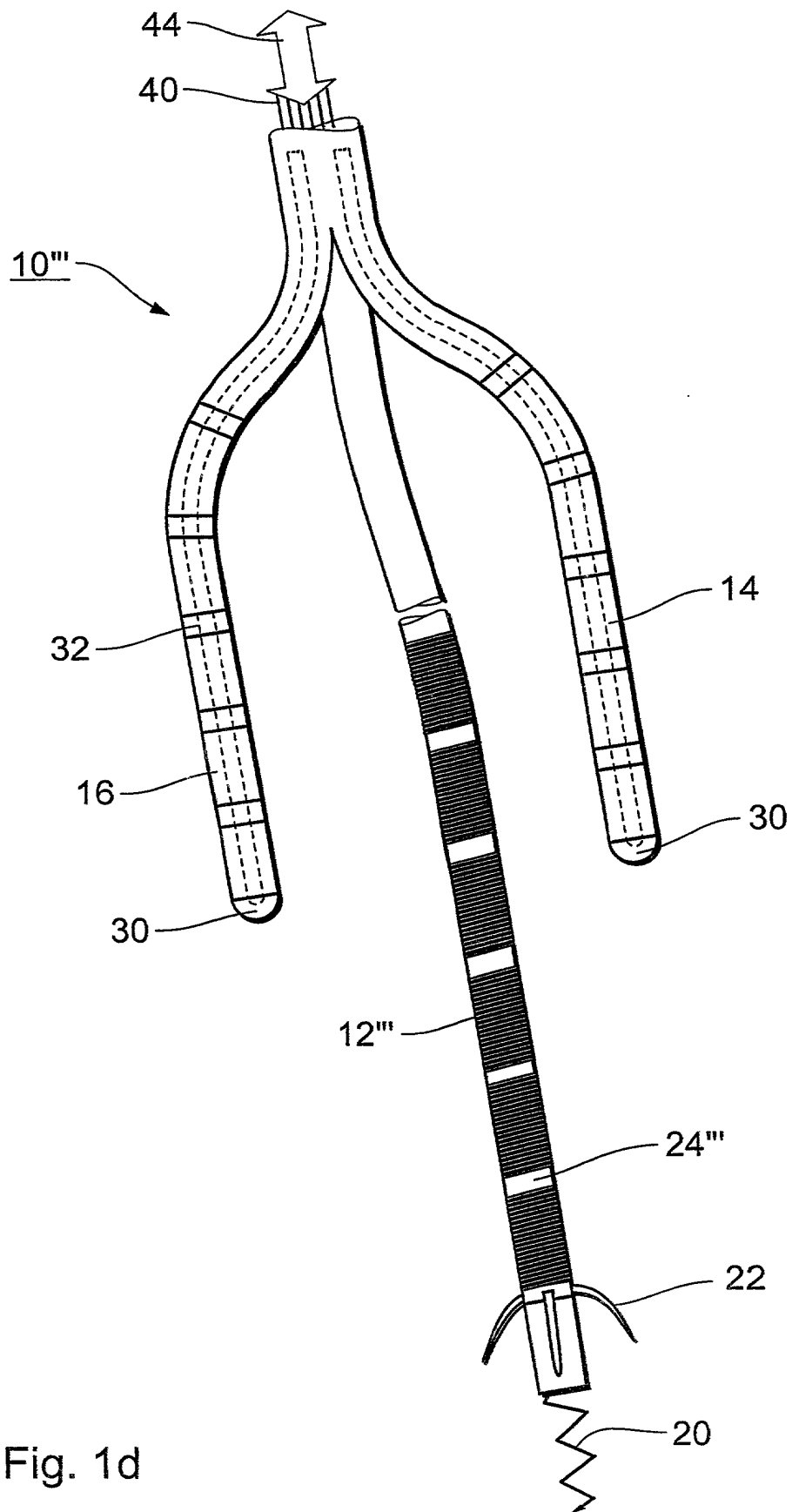


Fig. 1d

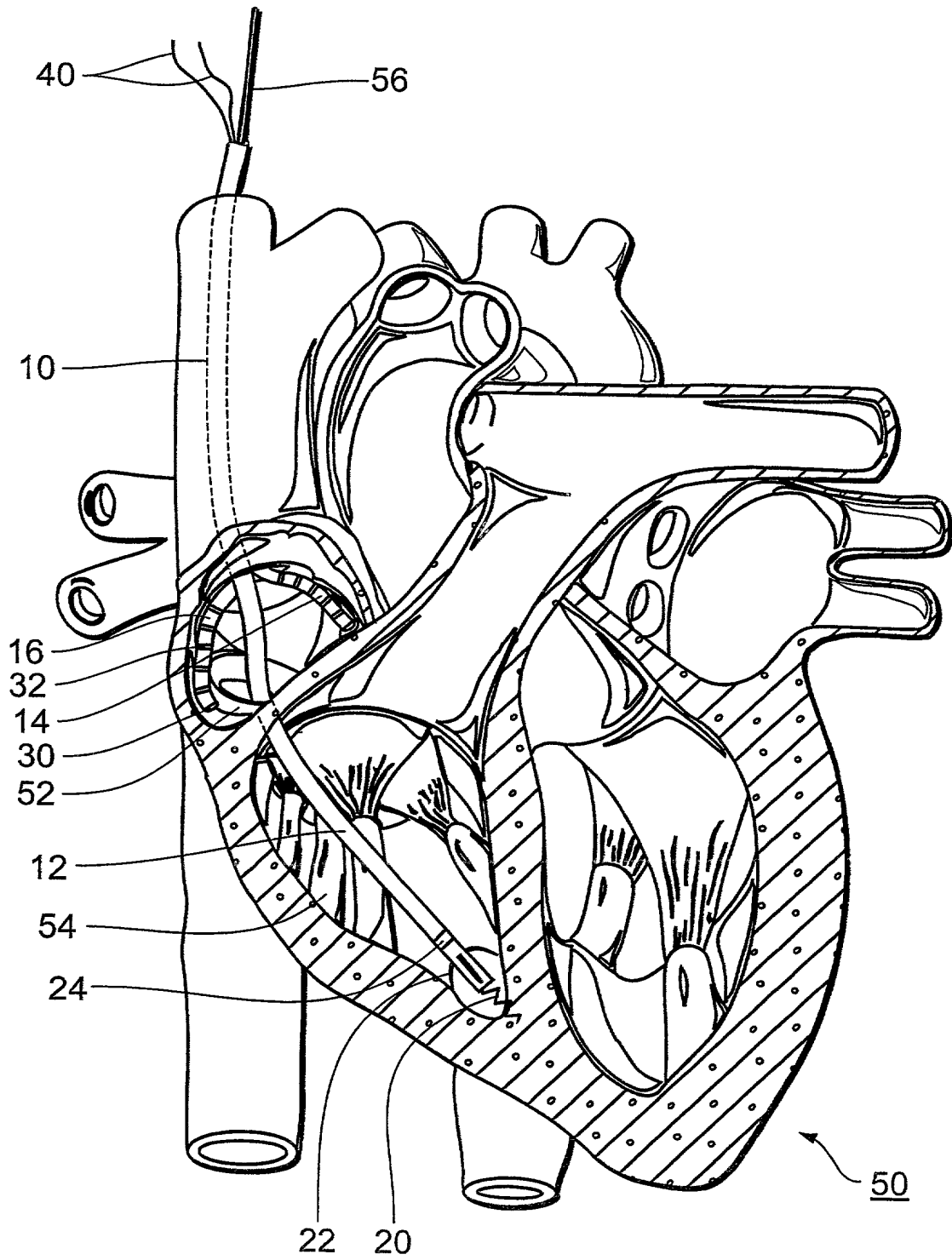
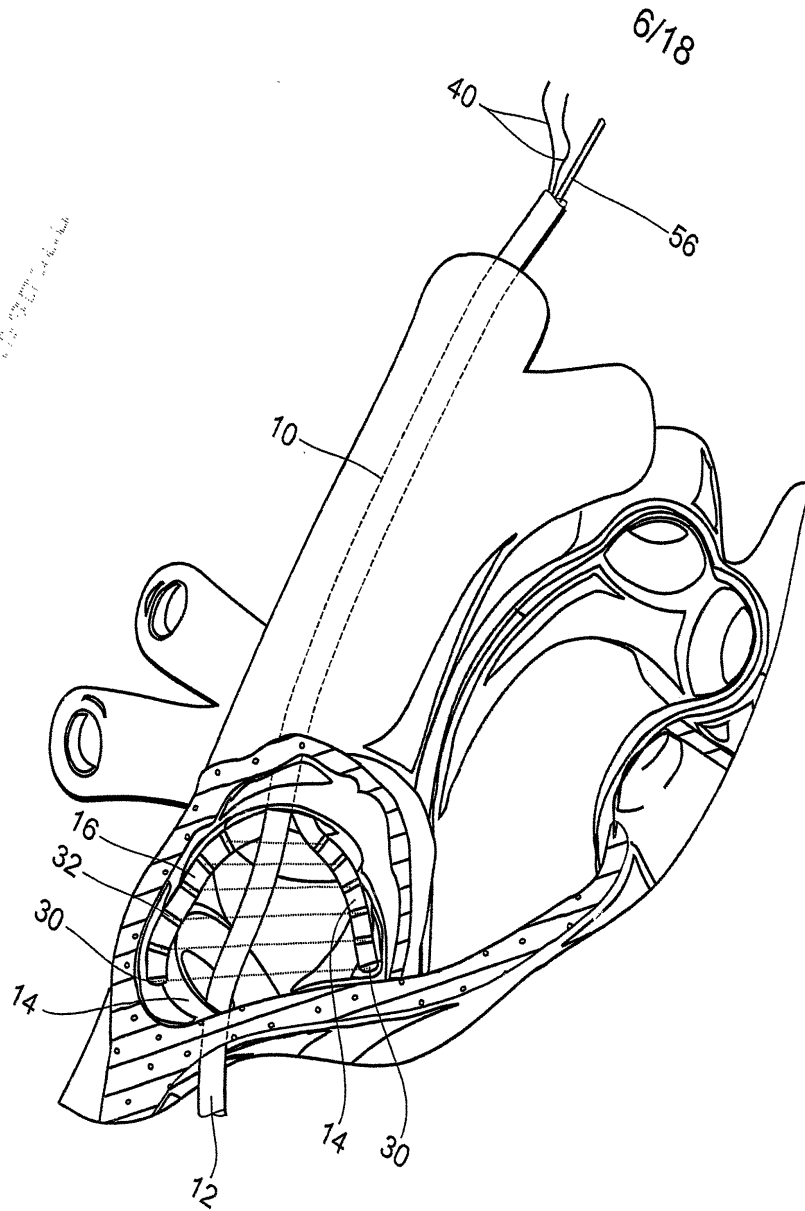


Fig. 2a

Fig. 2b



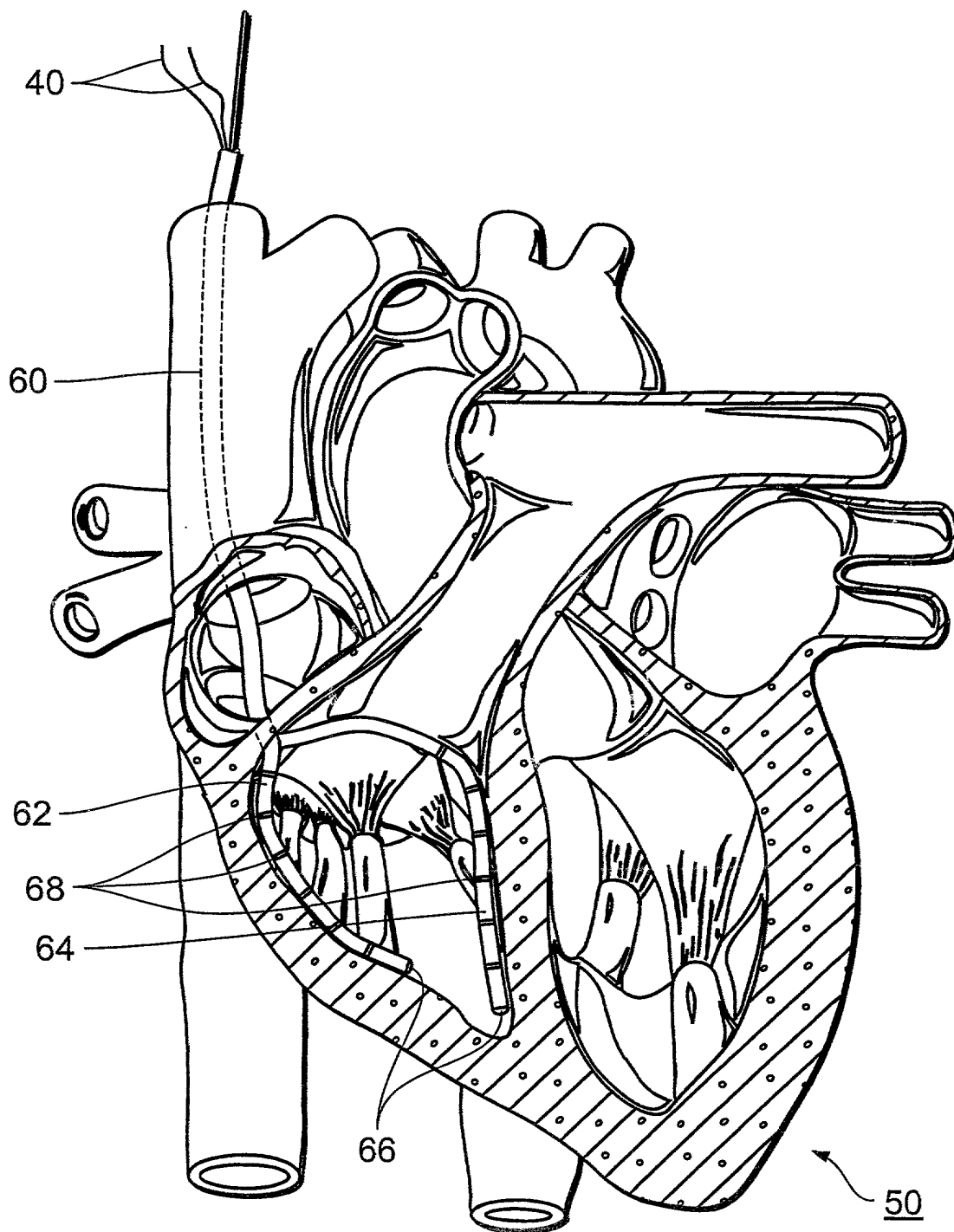


Fig. 3a

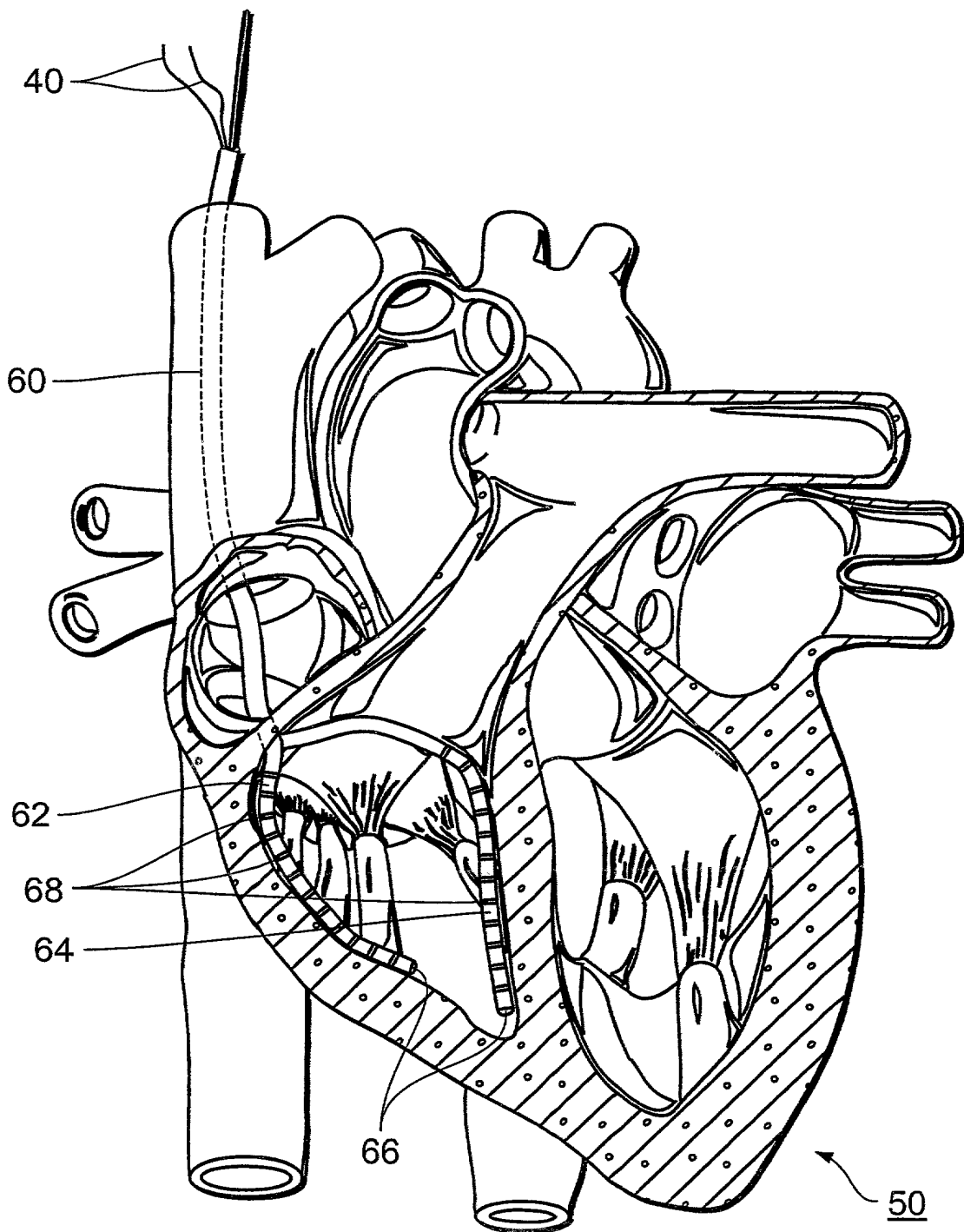


Fig. 3b

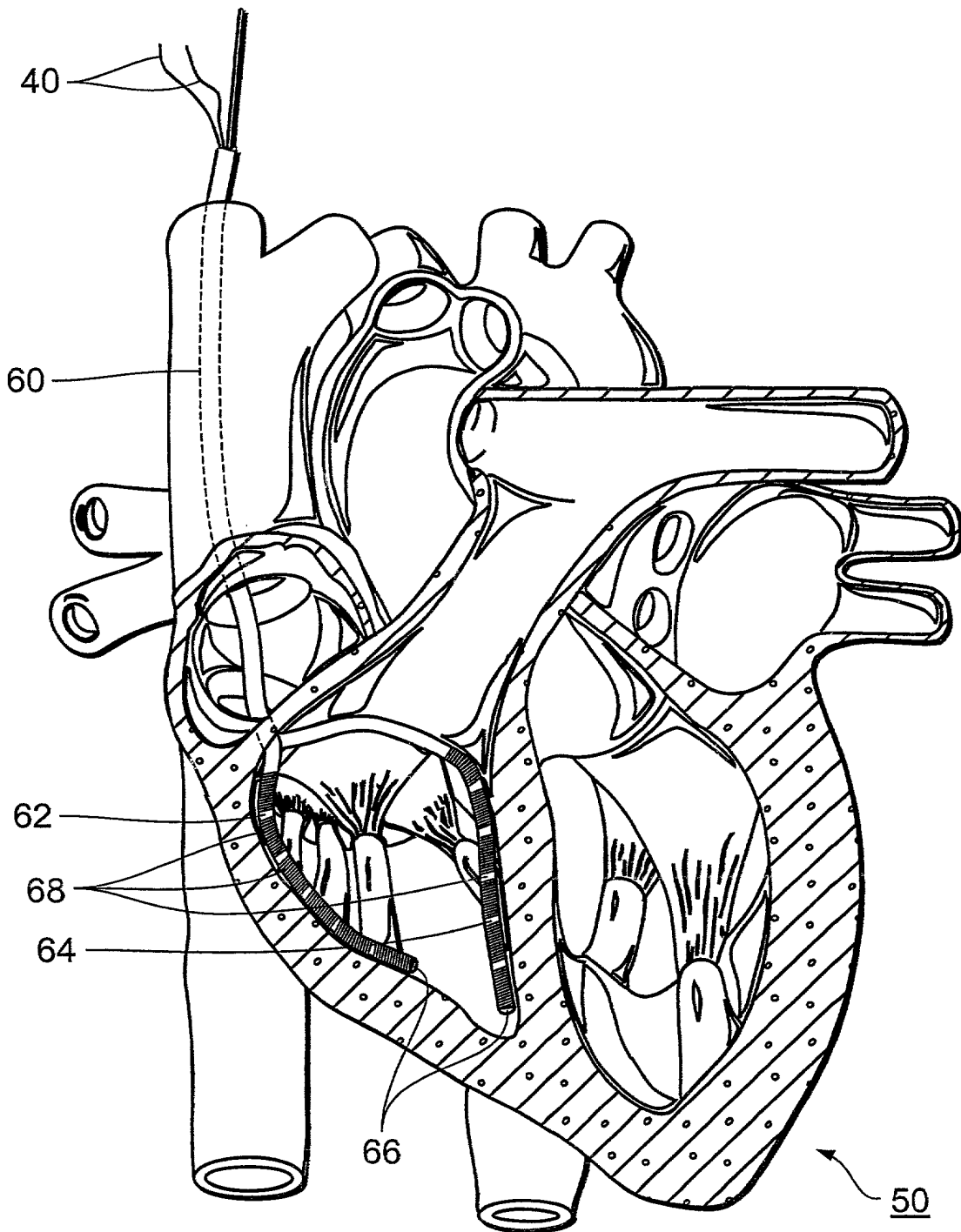


Fig. 3c

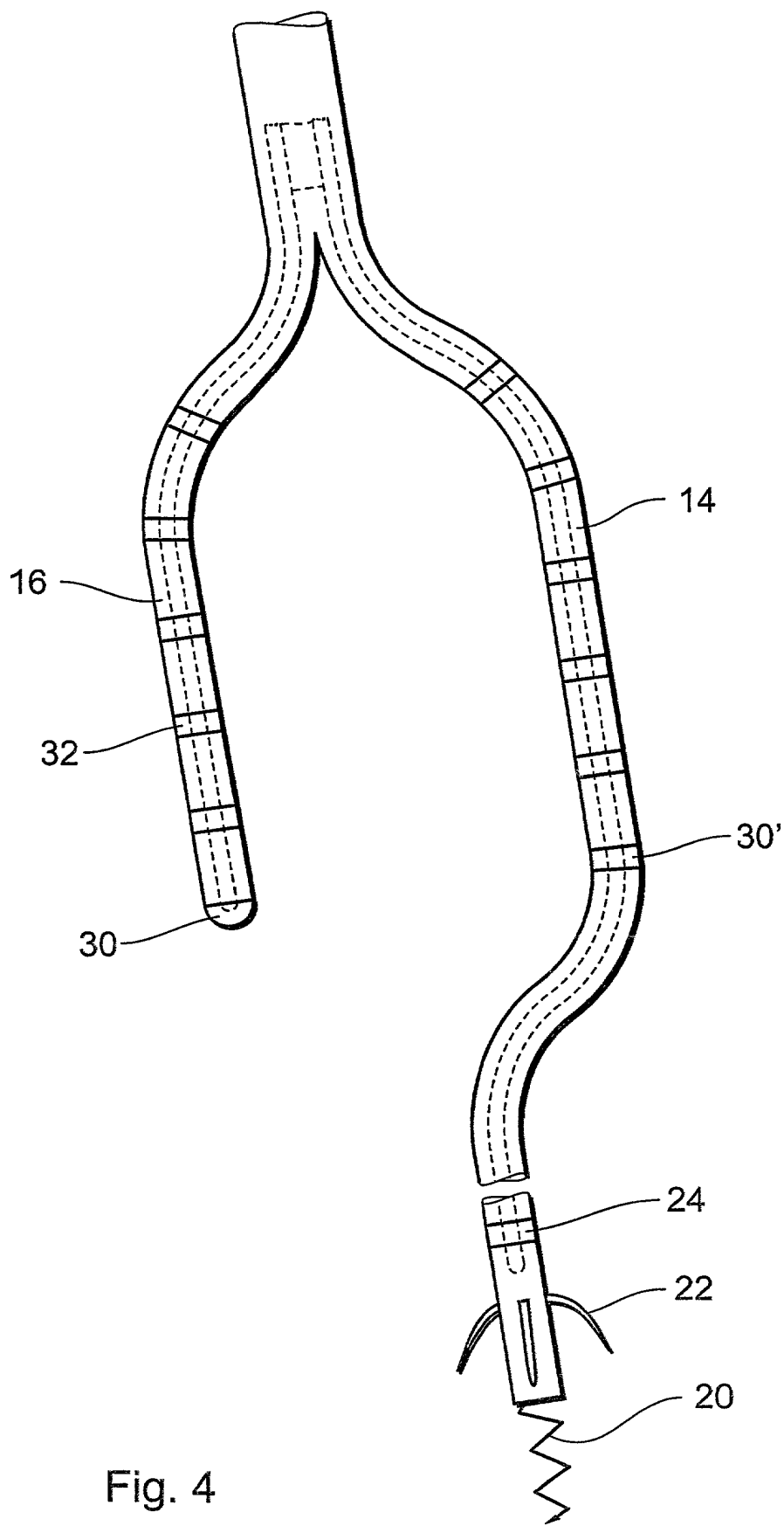


Fig. 4

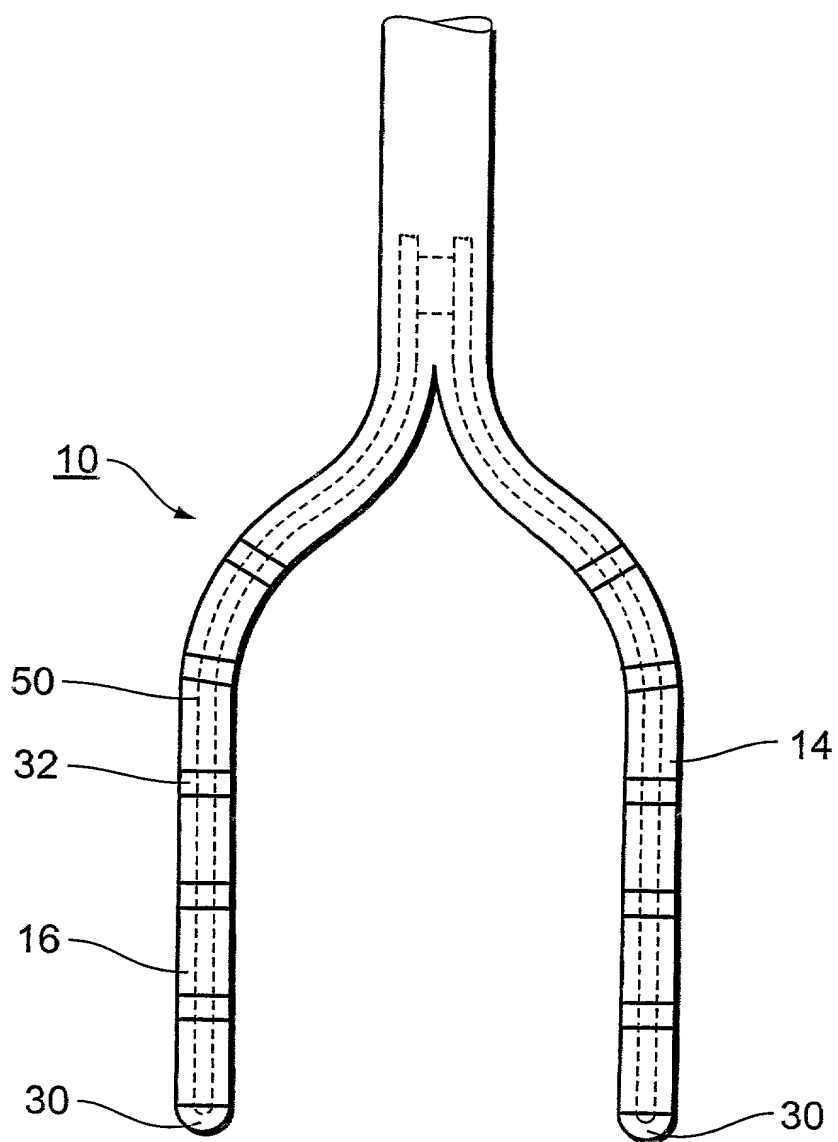


Fig. 5a

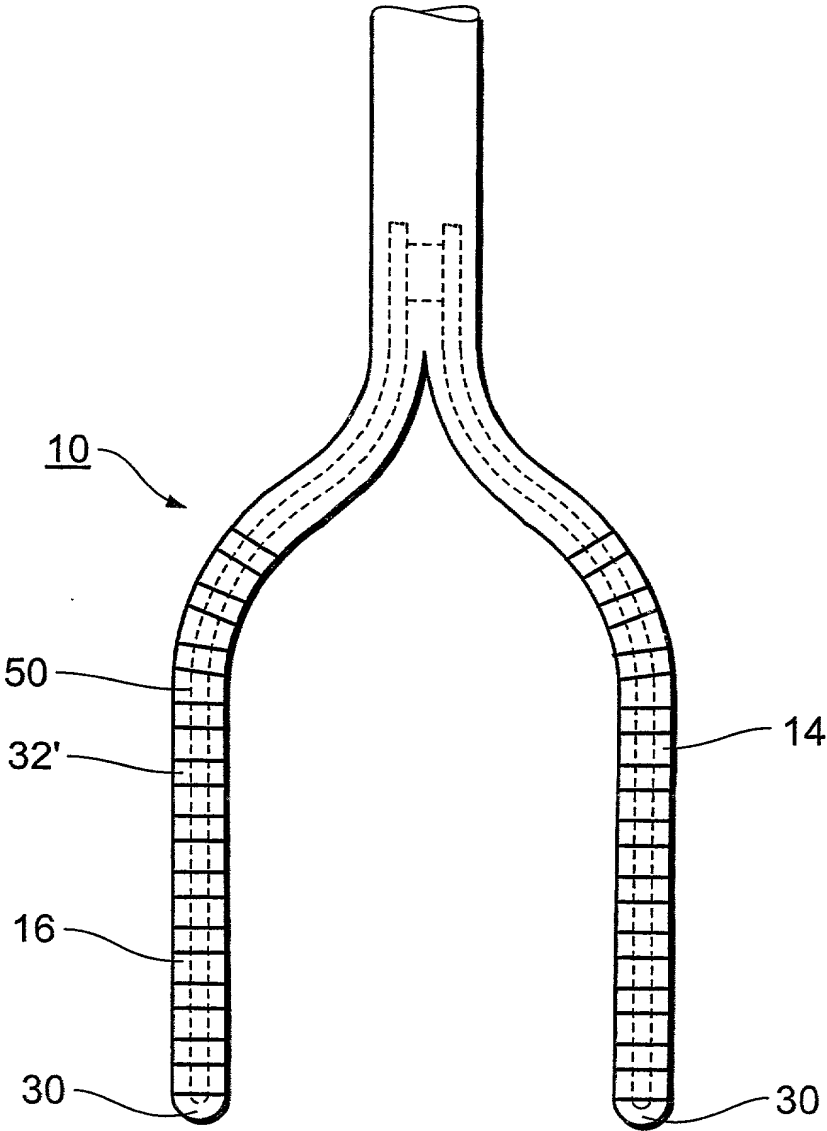


Fig. 5b

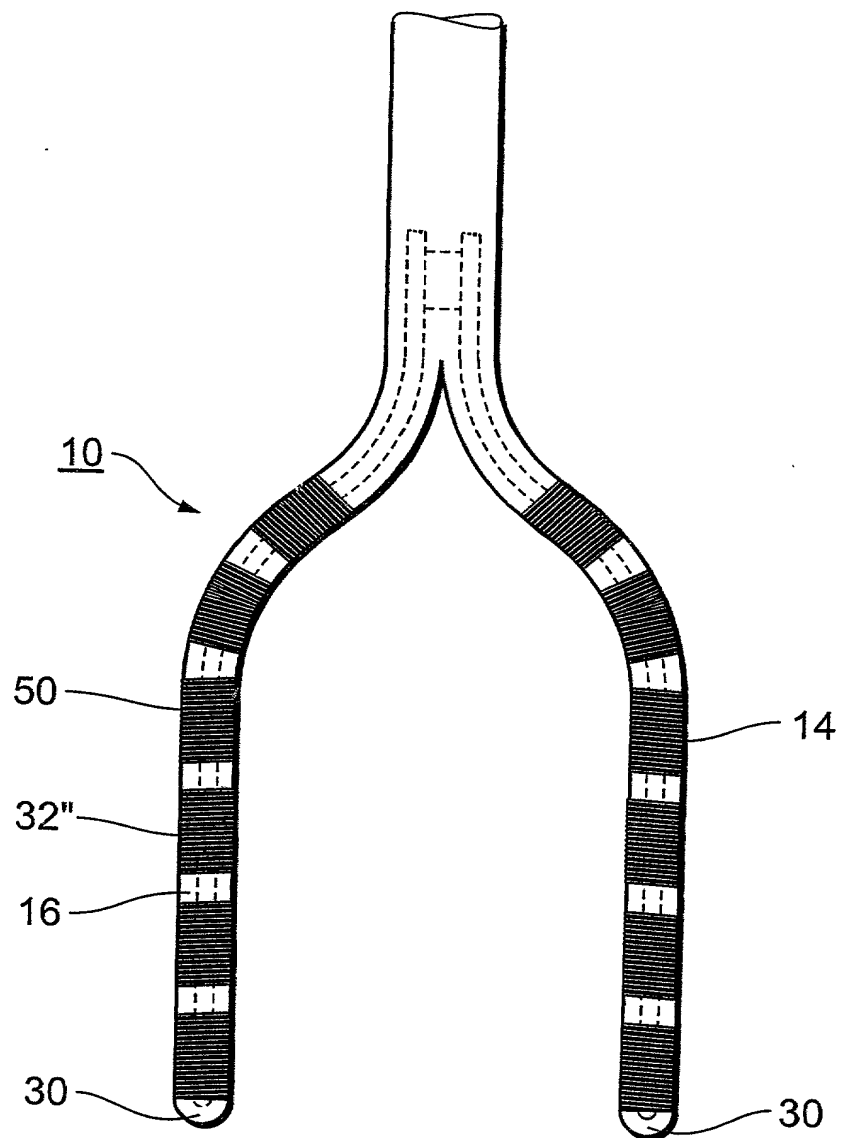


Fig. 5c

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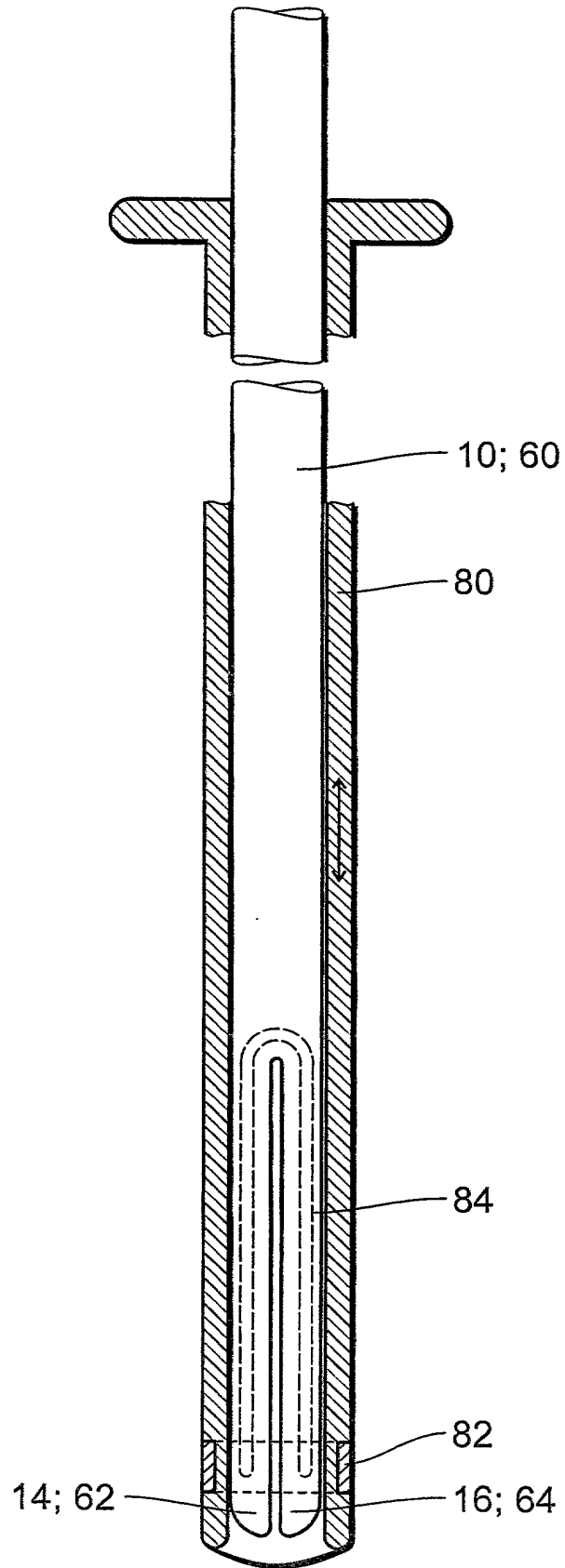


Fig. 6a

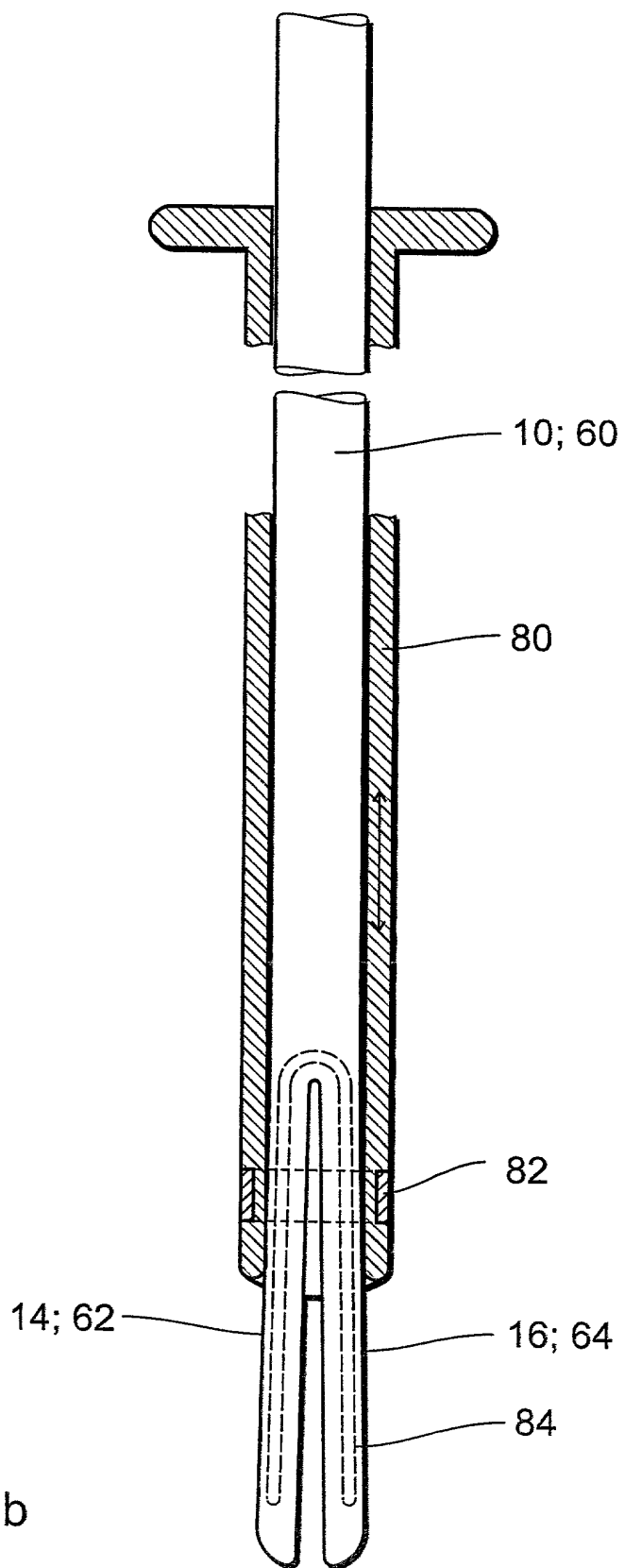


Fig. 6b

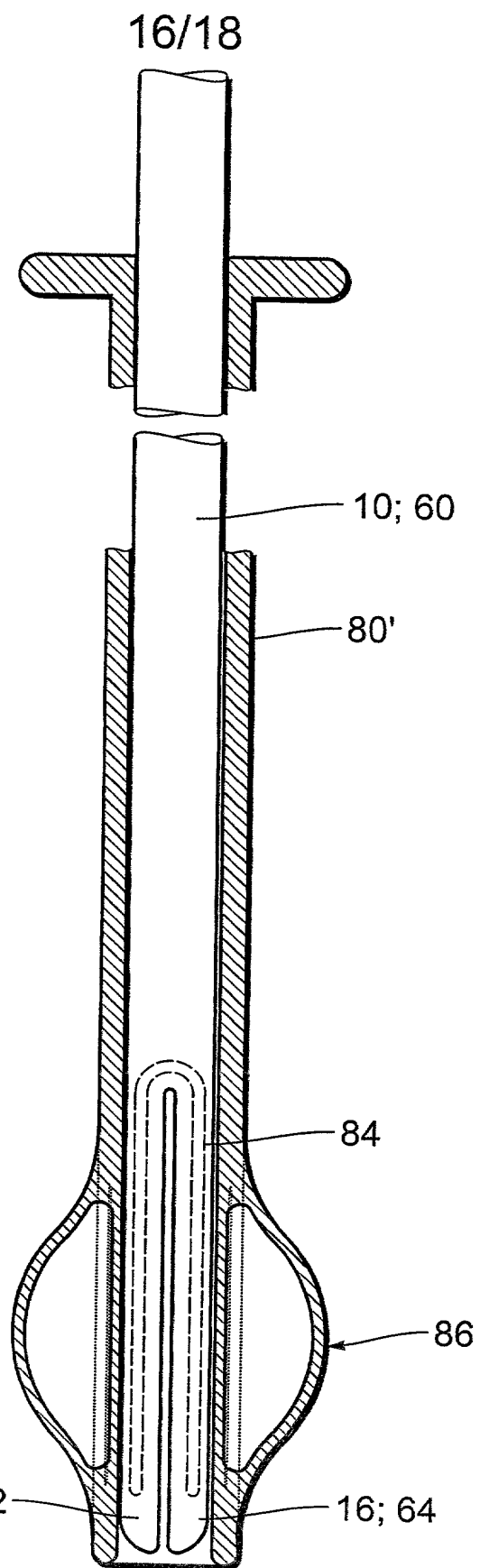


Fig. 6c

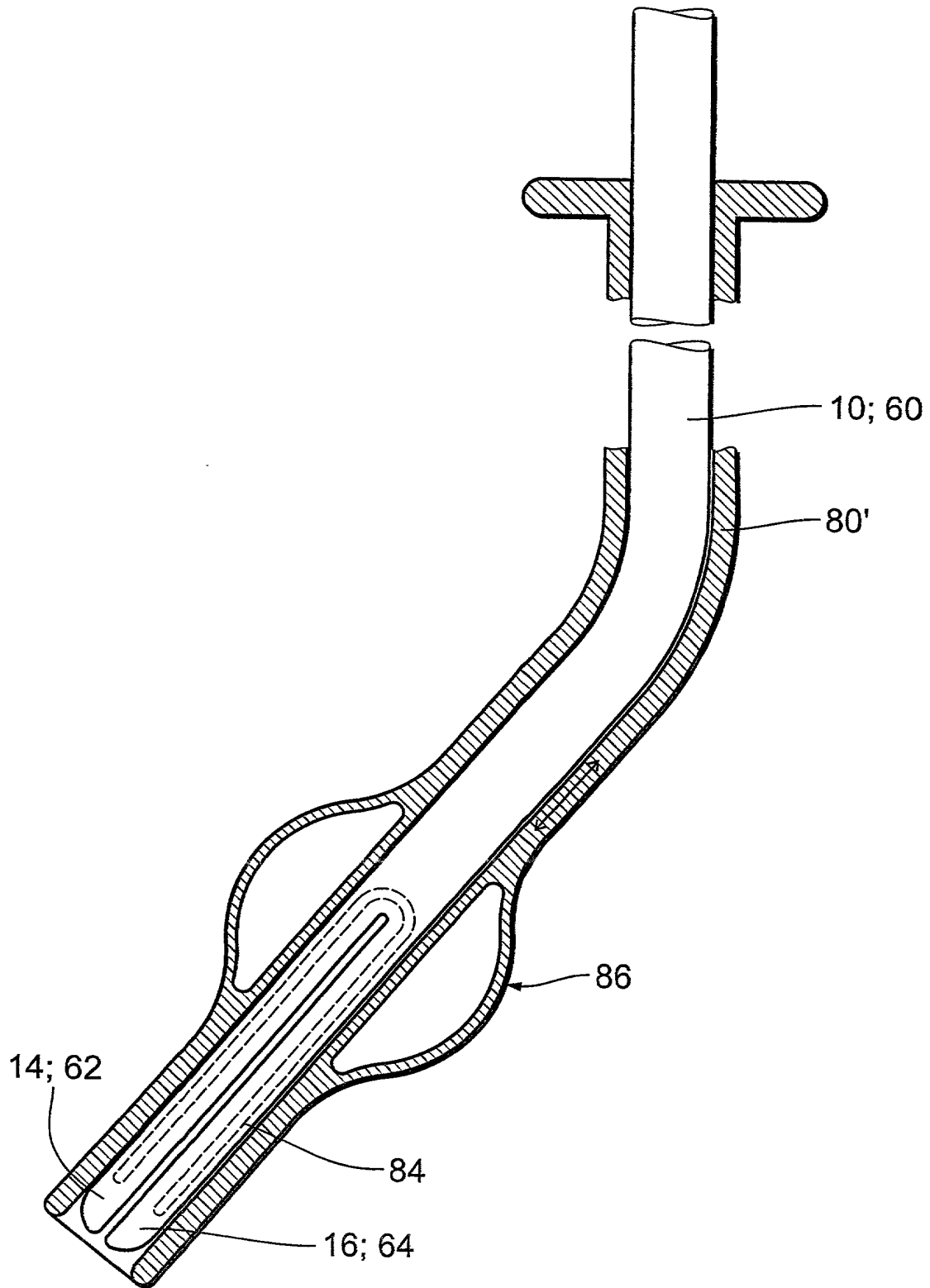


Fig. 6d

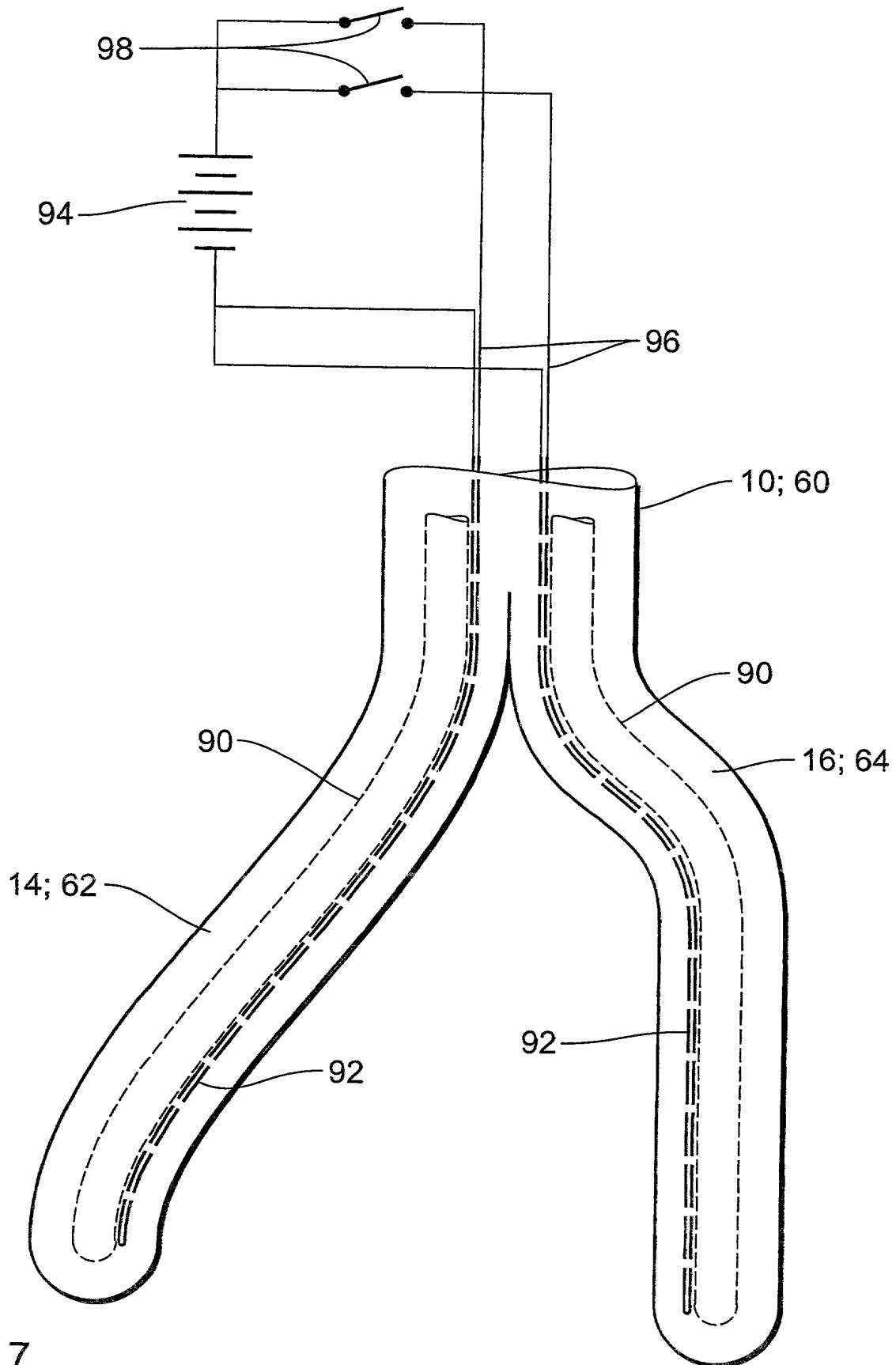


Fig.7

**DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATIONS**

PATENT

Docket No. : 39558/DBP/E43

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **ELECTRODE ARRANGEMENT**, the specification of which is attached hereto unless the following is checked:

X was filed on June 2, 2000 as United States Application Number or PCT International Application Number and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of the foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

| <u>Application Number</u> | <u>Country</u> | <u>Filing Date (day/month/year)</u> | <u>Priority Claimed</u> |
|---------------------------|----------------|-------------------------------------|-------------------------|
| 199 25 854.6 | Germany | 02.June.1999 | YES |

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

| <u>Application Number</u> | <u>Filing Date</u> |
|---------------------------|--------------------|
|---------------------------|--------------------|

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

| <u>Application Number</u> | <u>Filing Date</u> | <u>Patented/Pending/Abandoned</u> |
|---------------------------|--------------------|-----------------------------------|
|---------------------------|--------------------|-----------------------------------|

POWER OF ATTORNEY: I hereby appoint the following attorneys and agents of the law firm CHRISTIE, PARKER & HALE, LLP to prosecute this application and any international application under the Patent Cooperation Treaty based on it and to transact all business in the U.S. Patent and Trademark Office connected with either of them in accordance with instructions from the assignee of the entire interest in this application; or from the first or sole inventor named below in the event the application is not assigned; or from EISENFUHR.

**DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATIONS**

Docket No. 39558/DBP/E43

SPEISER & PARTNER in the event the power granted herein is for an application filed on behalf of a foreign attorney or agent.

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The authority under this Power of Attorney of each person named above shall automatically terminate and be revoked upon such person ceasing to be a member or associate of or of counsel to that law firm.

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I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| | | |
|---|----------------------|------------------------------|
| Full name of sole or first joint inventor Daniel Flammang | Inventor's signature | Date |
| Residence and Post Office Address Angouleme, France | | Citizenship French |

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